



Sector #

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of  
Brian M. Adams et al.

Serial No.: 09/375,164

Filed: August 16, 1999

For: Spill-Reduction Cap for Fluid  
Container

Examiner: (unassigned)  
Art Unit: 3727

**TRANSMITTAL OF  
MISSING PARTS**

Date: October 19, 1999

CERTIFICATE OF MAIL (37 CFR 1.8(A))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Box Missing Parts, Washington, D.C. 20231 on October 19, 1999.

*Karena J. Moy-Brown*

Karena J. Moy-Brown

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

In reply to the Notice To File Missing Parts of Application mailed September 2, 1999, enclosed please find the following papers:

- 1) Form PTO-[1533]
- 2) Reissue Application Declaration and Power of Attorney (by Inventors)
- 3) Check No. 4786 in the amount of \$130.00 to cover the filing fee;
- 4) Return Postcard

If any additional fees are due in connection with the filing of these papers, please charge such fees to our Deposit Account No. 06-1300 (Order No. RI-61182/JC).

Respectfully submitted,

Julian Caplan, Registration No. 14,785

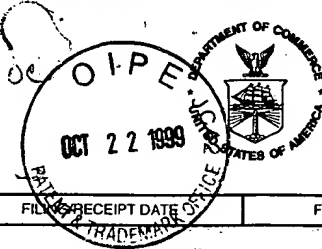
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
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San Francisco, CA 94111-4187  
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missing Parts



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/375,164         | 08/16/99            | ADAMS                 | B RI-61182/JC             |

0232/0902



NOT ASSIGNED

3727

JULIAN CAFLAN  
FLEHR HOHBACH TEST ALBRITTON & HERBERT  
4 EMBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111-4187

DATE MAILED:

09/02/99

## NOTICE TO FILE MISSING PARTS OF APPLICATION

## Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

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